

AI Evidence & Audit-Readiness

The PROVE stage of the Risk Spine: turn every decision, control, signal and outcome into a record an auditor can trust.

POV Evidence is a by-product of how you operate — never a project you run at audit time. Capture at source, and the audit is a query.

THE MODEL DEVICE · FOUR EVIDENCE STREAMS, CAPTURED CONTINUOUSLY — EACH ANSWERS A DIFFERENT AUDIT QUESTION

01 · DECISIONS	02 · CONTROLS	03 · SIGNALS	04 · OUTCOMES
Who chose what, and why. At the approval gate, sign-off or exception. Decision records, approver identity.	Did the safeguards run as designed. At the control test, gate check or runtime guard. Test results, override logs.	What the system showed us. At the heartbeat, axis move or alert. Telemetry snapshots, drift records.	What actually happened in the world. At the incident, near-miss or KPI report. Incident records, KPI snapshots.

THE SIX-STAGE CUSTODY CHAIN

Create → Capture → Store → Retain → Retrieve → Defend · the weakest stage sets your audit-readiness

FRAME · WHAT IT DOES

- Makes governance provable: turns every decision, control, signal and outcome into an auditor-trusted record.
- When to run: alongside or after M11 controls — when assurance, audit or certification is on the horizon.
- Inputs: M11 controls, M12 + M08 signals, M15 gates, M14 incidents; existing evidence sources; the spine regime.

WORK · IN THE ROOM

- The four streams + the six-stage custody chain; the biggest decision — capture at source vs reconstruct at audit.
- The eight-field decision record; depth at each size; the maturity ladder; the Wyreld worked example.
- Format: ~90-min facilitated workshop. Overview, teach, co-design on four canvases, outcomes.

VALUE · WHAT YOU LEAVE WITH

- A four-stream evidence model wired to the custody chain, with a named owner per stream.
- A regime mapping (EU AI Act / ISO 42001 / NIST) that makes audit a query; a populated T13 Register.
- Exit: capture switched on at decision time; proof carried on to M33 Maturity and M35 Certification.

CO-DESIGN CANVAS SET · FOUR STANDALONE ONE-PAGERS, EACH CIRCULATE-ABLE

1 · EVIDENCE REGISTER One per use case: the four streams — where captured, artefact, owner, store and retention.	2 · CUSTODY CHAIN WALK One artefact, six stages: owner, system and proof. The weakest stage sets readiness.	3 · DECISION RECORD CARD One decision: the eight fields a Stream 01 record must carry. The how-to-define one.	4 · PROVE-IT DRY-RUN A regulator question → stream, artefact, where it lives, retrieve-in minutes. Audit = query.
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SO WHAT · THREE ROLES

Enterprise Architect · Transformation Leader · Microsoft Partner

DEPENDENCIES

Inherits M11 · M12 · M08 · M15 · M14 · hands to M33 + M35 · framework F06

STANDARDS ANCHORED

EU AI Act · ISO/IEC 42001 · NIST AI RMF · MS Responsible AI